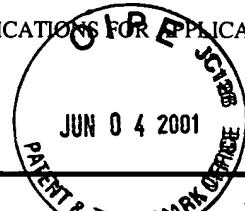


FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS
INFORMATION
DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY. DOCKET NO.
ROC920000129US1SERIAL NO.
09/697,398APPLICANT:
Robert Miller et al.

#2

FILING DATE:
October 27, 2000GROUP:
2152 2155

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPRO.)
AA						
AB						
AC						
AD						
AE						
AF						JUN 7 2001
AG						Technology Center 2100
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO
AL							
AM							
AN							
AO							
AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Th	AR	Timothy Roy Block, IBM Patent Application filed November 22, 2000, Serial No. 09/718,924, "Apparatus and Method for
		Communicating Between Computer Systems Using a Sliding Send Window for Ordered Messages in a Clustered Computing Environment."
	AS	
	AT	

EXAMINER

Thushanayya

DATE CONSIDERED

4/13/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
ROC920000129US1SERIAL NO.
09/697,398APPLICANT:
Robert Miller et al.

#3

FILING DATE:
October 27, 2000GROUP:
2152 2155

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPRO.)
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO
AL							
AM							
AN							
AO							
AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Thn	AR	U.S. Patent Application, Serial No. 09/638,328, entitled "Merge Protocol for Clustered Computer System," filed on
		August 14, 2000 by Miller et al. (ROC920000130US1)
Thn	AS	U.S. Patent Application, Serial No. 09/732,189, entitled "Peer Protocol Status Query in Clustered Computer System," filed on
		December 7, 2000 by Miller (ROC920000125US1)
	AT	

EXAMINER

Thuharayn

DATE CONSIDERED

11/13/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.